Substitute for Form 1449A/PTO (Modified)	Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*	Application Number	10/040,526
	Filing Date	12/28/2001
	First Named Inventor:	Wayne V. Sorin
	Group Art Unit	2874
	Examiner Name	Wong Eric K.
Sh et 1 of 2	Attorney Docket Number	5489P010

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	U.S. Patent Document Number Code[2]	Kind (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,181,840	B1	Huang et al.	1-30-2001	
		3,916,182		Dabby et al.	10-28-1975	

OCT 08 2003 PATENT & TRADEMARK OFFICE

RECEIVED OCT 17 2003 TC 2800 MAIL ROOM

FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
EW		WO	01/91349	A2	Novera Optics, Inc.	11-29-2001		

Examiner Signature		Date Considered	12/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1]Unique citation designation number. [2]See attached Kinds of U.S. Patent Documents. [3]Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). [4]For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6]Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitut for Form 1449A/PTO (Modified)				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*				Application Number	10/040,526
				Filing Date	12/28/2001
				First Named Inventor:	Wayne V. Sorin
				Group Art Unit	2874
				Examiner Name	Wong, Eric K.
Sheet	2	**of**	2	Attorney Docket Number	5489P010

OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]
		PCT/US 02/41211, International Search Report, International Filing Date 12-20-2002, pp. 3 pgs total.	
		WEN-FUNG LIU et al., "Acoustic-induced switching of the reflection wavelength in a fiber Bragg grating", 2000 Optical Society of America, Optics Letters, Vol. 25, No. 18, September 15, 2000, pp. 1319-1321.	
		DING-WEI HUANG et al., "Reflectivity-Tunable Fiber Bragg Grating Reflectors", 2000 IEEE, IEEE Photonics Technology Letters, Vol. 12, No. 2, February 2000, pp. 176-178.	
		W.F. LIU et al., "100% Efficient Narrow-Band Acoustooptic Tunable Reflector Using Fiber Bragg Grating", 1998 IEEE, Journal of Lightwave Technology, Vol. 16, No. 11, November 1998, pp. 2006-2009.	
		W.F. LIU et al., "Acousto-optic superlattice modulator using a fiber Bragg grating", 1997 Optical Society of America, Optics Letters, Vol. 22, No. 19, October 1, 1997, pp. 1515-1517.	
		SEOK HYUN YUN et al., "All-fiber tunable filter and laser based on two-mode fiber", 1996 Optical Society of America, Optics Letters, Vol. 21, No. 1, January 1, 1996, pp. 27-29.	

Examiner Signature		Date Considered	12/3

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

[1]Unique citation designation number. [2]Applicant is to place a check mark here if English language Translation is attached.